Raytheon Technologies Corporation
Conflict Minerals Policy Statement

Raytheon Technologies Corporation (RTX) is committed to maintaining high standards of corporate responsibility and takes seriously reports that the violent conflict in the Democratic Republic of Congo (DRC) region is partially financed by the exploitation and trade of “conflict minerals” – tantalum, tin, tungsten and gold (a/k/a “3TG”) – originating in the DRC region. Accordingly, RTX supports the underlying objective of legislation enacted to curb the illicit trade of 3TG. RTX is engaged in efforts to reduce the risk that minerals used in its products may contribute to the violence in the DRC region, including sponsorship of initiatives aimed at ridding global supply chains of 3TG that help finance violence.

Overview of the Conflict Minerals Legislation

Seeking to sever the link between 3TG and human rights abuses in the DRC region, Congress enacted in 2010 section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank Act), which calls for companies to disclose their use of 3TG. Congress directed the Securities and Exchange Commission (SEC) to create specialized disclosure rules implementing the legislation. The SEC adopted final rules requiring publicly listed companies, including RTX, to disclose information annually about the use of 3TG in their products.

Minerals in RTX’s Supply Chain

3TG make their way into the supply chain of various products used by consumers and businesses around the world. As a downstream user that manufactures products that could contain 3TG, RTX and its business segments have undertaken a disciplined process to reduce the risk of manufacturing products that may contain minerals that contribute to the violence in the DRC region.

We prefer to source 3TG originating in the DRC region from a smelter or refiner validated by an independent third-party as having systems in place to produce responsibly sourced materials, such as for example, through the Responsible Minerals Initiative’s (RMI) Responsible Minerals Assurance Process (RMAP), or similar third-party programs. We will continue to support initiatives that enable the sourcing of conflict-free minerals.

RTX’s Compliance Efforts

RTX is committed to complying with the conflict minerals rule and has established a conflict minerals compliance program that is designed to conform, in all material respects, to the internationally recognized due diligence framework established by the Organisation for Economic Cooperation and Development (OECD). The annual Form SD disclosure report RTX files with the Securities Exchange Commission (SEC) describes the due diligence measures RTX and its business segments undertook during the reporting period. The Form SD reports RTX filed, including its most recent filing, are published on RTX’s website (www.rtx.com) in the “Investors” page under “Financial Information”.
Because RTX does not source 3TG directly from smelters or refiners and has a multi-tiered, complex and geographically dispersed supply chain, engagement with our suppliers is a fundamental element of our efforts to comply with the legislation. RTX requires its suppliers to comply with the conflict minerals rule and to support RTX in fulfilling its obligations under the rule, including providing RTX with information regarding the country of origin of the 3TG in components supplied to RTX’s business segments. When non-compliance is detected, we will attempt to work with the supplier concerned to correct the situation. If a supplier remains non-compliant, we will evaluate our options, including but not limited to reassessment of the supplier relationship.

Likewise, as a supplier, RTX is committed to assisting our customers’ efforts to meet their legal obligations under the conflict minerals rule. The conflict minerals compliance program RTX implemented helps us provide appropriate information to support our customers in complying with the conflict minerals rule.

Questions or Concerns

Please direct questions or concerns regarding RTX’s conflict minerals program to RTX’s Corporate Responsibility Manager at cminfo@rtx.com. When employees, contractors and partners observe or suspect something that conflicts with our values, we encourage them to speak up and report it. Employees and third parties may raise concerns about violations of RTX’s corporate policy with respect to the sourcing of conflict minerals via the RTX EthicsLine and Ethics Office. Additional information regarding these reporting channels is available through the “Our Company” section of our website under “Ethics and Compliance.”

RTX’s policy statement on conflict minerals will be regularly reviewed and updated as necessary.

For additional information about our commitment to respecting and protecting human rights, please see RTX’s Code of Conduct, Stronger Together.