

**United Technologies Corporation**  
**Human Trafficking Policy Statement**

On March 2, 2015, the Federal Acquisition Regulation (FAR) and Defense Federal Acquisition Regulation Supplement (DFARS) were amended to include new FAR and DFARS clauses implementing the United States Government's policy prohibiting trafficking in persons. These clauses will be included in covered prime contracts issued after March 2, 2015, and are required to be flowed down to covered suppliers.

As a UTC supplier, your company is integral to UTC and its businesses' commitment to providing products and services that exceed our customers' expectations while conforming to the highest standards of ethical conduct. We ask that you carefully review these new regulations and ensure that your company, agents and subcontractors take the actions necessary to come into compliance with the regulatory requirements and fully understand the penalties for non-compliance. A covered supplier also has the responsibility to report trafficking in persons violations by the supplier's employees and to provide employee protection under 10 U.S.C. 2409, as implemented in DFARS subpart 203.9, from reprisal for whistleblowing on trafficking in persons violations.

The U.S. Government requires subcontractors to certify compliance with the clauses' requirements. For your reference, the FAR provisions can be found [here](#) and the DFARS provisions can be found [here](#).

To direct any concerns about this policy or report a potential violation, contact the UTC Headquarters Global Ethics & Compliance Office by email at [eco@corphq.utc.com](mailto:eco@corphq.utc.com). Alternatively, for a confidential discussion regarding an issue or concern, or to identify reporting options, contact a UTC Ombudsman by telephone at 800.871.9065, or submit a written inquiry using UTC's secure, encrypted, web-enabled system: <https://ombudsman.confidential.utc.com/>. Additional information regarding the Ombudsman Program is available at [www.utc.com/Speak-Up](http://www.utc.com/Speak-Up). Violations can also be reported through the Global Human Trafficking Hotline at 1-844-888-FREE (3733) or email [help@befree.org](mailto:help@befree.org).